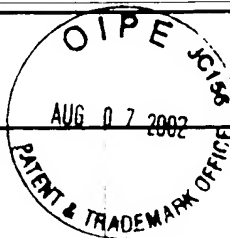


1756

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15115.019001

In Re Application Of: Masaaki IKEDA et al.



Serial No.  
10/092,336

Filing Date  
March 6, 2002

Examiner

Group Art Unit  
1756

Title: **MANUFACTURING METHOD AND APPARATUS OF OPTICAL DEVICE AND REFLECTION PLATE PROVIDED WITH RESIN THIN FILM HAVING MICRO-ASPERITY PATTERN**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**RECEIVED**  
AUG 08 2002  
TC 1700

**COPY OF PAPERS  
ORIGINALLY FILED**

**INFORMATION DISCLOSURE STATEMENT**  
 (Use several sheets if necessary)

ATTY. DOCKET NO.:

15115.019001

SERIAL NO.:

10/092,336

APPLICANT:

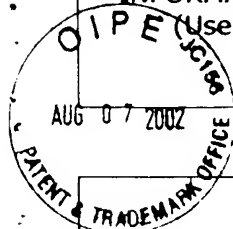
Masaaki IKEDA et al.

FILING DATE:

March 6, 2002

ART UNIT:

1756

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	APPLICANT'S OR PATENTEE'S NAME

 COPY OF PAPERS  
 ORIGINALLY FILED
**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION	
					YES	NO
	A1	0 617 310 A1	3/11/1994	Europe		

**OTHER DOCUMENTS**

EXAMINER INITIALS	REF	(Include Author, Title, Date, Pertinent Pages, etc.)
	A2	Patent Abstracts of Japan, Publication No. 06-273800, 9/30/94, 2 pgs.
	A3	Patent Abstracts of Japan, Publication No. 11-052110, 2/26/99, 3 pgs.
	A4	Patent Abstracts of Japan, Publication No. 11-242105, 9/7/99, 3 pgs.
	A5	Patent Abstracts of Japan, Publication No. 2000-258615, 9/22/00, 3 pgs.

 RECEIVED  
 AUG 08 2002  
 TC 1700

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

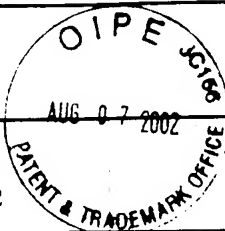
Docket No.

15115.019001

In Re Application Of: Masaaki IKEDA et al.

Serial No.  
10/092,336Filing Date  
March 6, 2002

Examiner

Group Art Unit  
1756Title: **MANUFACTURING METHOD AND APPARATUS OF OPTICAL DEVICE AND REFLECTION PLATE PROVIDED WITH RESIN THIN FILM HAVING MICRO-ASPERITY PATTERN****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Lisa H. Smith

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney Street, Suite 2800  
Houston, TX 77010

Tel: (713) 228-8600  
Fax: (713) 228-8778

Dated: 8/7/02

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
AUG 08 2002  
TC 1700

CC: